

# PUBLIC SUBMISSION

<b>As of:</b> September 28, 2015 <b>Received:</b> September 21, 2015 <b>Status:</b> Pending_Post <b>Tracking No.</b> 1jz-8l99-6k2r <b>Comments Due:</b> September 24, 2015 <b>Submission Type:</b> Web
---

**Docket:** EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

**Comment On:** EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

**Document:** EBSA-2010-0050-DRAFT-6049

Comment on FR Doc # 2015-08831

---

## Submitter Information

**Name:** David Thompson

**Address:**

6718 Tustin Rd.

Salinas, CA, 93907

**Email:** mrdkt@att.net

**Phone:** 831-663-5231

---

## General Comment

It is not clear to me whether, or not, the rule change includes Roth IRAs. Currently, I use cash covered PUT options to obtain ownership of shares in a company at a reasonable price. The incentive to do this comes from receiving a payment for "selling to open" the cash covered PUT with the cash to cover the PUT residing in my Roth IRA account. I do not want to see any change that affects my trading capabilities.